



دائرة رأس الخيمة للمعرفة
RAS AL KHAIMAH
DEPARTMENT OF KNOWLEDGE

COMPLIANCE INSPECTION FRAMEWORK FOR ACADEMIC YEAR 2025 – 2026





Table of Contents

1.	Introduction.....	3
2.	Objectives of the RAK DOK Compliance Inspection Policy Framework.....	3
3.	Defining the type of compliance inspections conducted in K-12 private schools today.	4
4.	Towards a coordinated approach to conducting compliance inspections for RAK private schools.....	5
5.	Ras Al Khaimah Compliance Inspection Framework.	5
	5.1 General Compliance Inspection Visit.....	6
	5.2 Theme visits	6
	5.3 Other Government Entity Visits	7



1. Introduction

The Ras Al Khaimah Department of Knowledge (RAK DOK) *Compliance Inspection Framework* provides a clear overview of the range of compliance inspections private schools in the Emirate can expect to receive throughout the year. It clarifies how these will be administered, by which entity, the times within the year, and what the expectations are from all private schools.

RAK DOK will communicate any changes to regulations and policies with all schools as required.

2. Objectives of the RAK DOK Compliance Inspection Policy Framework

This *Compliance Inspection Policy Framework* is a guiding framework for all private schools in the Emirate. The objectives of a K-12 compliance inspection framework typically revolve around ensuring that private schools adhere to RAK DOK standards, regulations, and guidelines. The purposes of this policy include, but are not limited to, the following:

- a) Provide all K-12 schools with an overview of the range of compliance inspection visits that will be conducted throughout the calendar year;
- b) Clarify the purpose of each compliance inspection visit, how the information will be used and with who;
- c) Ensure regulatory compliance for all schools with the regulations governing education. This includes adherence to curriculum standards, safety regulations, teacher certification requirements, and other legal obligations.
- d) Provide safeguarding student welfare to ensure the safety and well-being of students within the school environment.
- e) Promote transparency and accountability within K-12 schools by establishing clear standards and procedures for compliance inspection visits.
- f) Facilitate continuous improvement by identifying strengths and areas for development, providing feedback to schools, and providing support.

3. Defining the type of compliance inspections conducted in K-12 private schools today.

The following table shows a description of each compliance and its purpose.

Visit purpose	No. of visits per academic year	Average Duration of Visit (Day)	Nature of the Visit
General compliance inspection visit ¹	1	1-2	Unannounced visit
Ministry of Human Resources and Emiratization	1	0.5	During the second day of the General Compliance visit
Theme visits	One per theme during the academic year	0.5	Unannounced/ Announced visit
Civil Defense	1	0.5	At license renewal based on school request
Municipality	1	0.5	At license renewal based on school request
General Resources* Department	At least one per academic year	0.5	Unannounced visit
RAK Transport Authority*	3	0.5	Unannounced visit
Ministry of Health*	1	0.5	Unannounced or Announced visit

***Note: These visits will not affect the school day, as they will be conducted with an identified purpose, and only the person in charge of the subject of the visit from the school will be involved.**

¹ <https://www.moe.gov.ae/En/ImportantLinks/Documents/inspection/2021guidEN.pdf>



4. Towards a coordinated approach to conducting compliance inspections for RAK private schools

Adopting a coordinated approach to conducting compliance inspections in the K-12 private education sector promotes efficiency, consistency, and collaboration.

DOK's focus on coordinating the compliance inspection process will benefit private schools in a number of ways, including:

1. **Streamlining** the compliance inspection process, reducing redundancy, and increasing efficiency.
2. Ensuring **consistency with inspection standards** and procedures across all schools.
3. Providing a **thorough evaluation of adherence to different regulations** and standards. Therefore, it ensures that each element of the educational requirements' safety is thoroughly assessed.
4. Ensuring a holistic evaluation of the **strengths and areas of development** of private educational institutions, as several institutions are involved.
5. Assisting private educational institutions in **reducing their administrative workload** through the consolidation of inspections, leading to a more efficient and cohesive inspection process coordinated by RAK DOK to schedule visits simultaneously for each school.
6. **Improving communication and coordination** to address compliance matters and the subsequent actions needed.

5. Ras Al Khaimah Compliance Inspection Framework.

Private educational institutions' compliance with rigorous standards, regulations, and guidelines is paramount to guaranteeing the quality and safety of the learning environment. This *Compliance Inspection Framework* serves as the backbone, providing a structured framework for assessing adherence to educational requirements and promoting continuous improvement within educational institutions.

RAK DOK's *Compliance Inspection framework* is tailored to the specific needs and objectives of Ras Al Khaimah private schools' endeavor to uphold the highest standards of educational quality through various types of compliance visits.



The following section provides an overview of the different types of compliance inspection visits, their purpose, the instruments used, and whether the visit is scheduled in advance or unannounced.

5.1 General Compliance Inspection Visit

- **Purpose of the visit:**
To assess the level of adherence in private schools to policies, laws, regulations, and decisions assigned by RAK DOK and MOE.
- **Tools/ instruments used:** MoE's Compliance checklist inspection forms. Currently, there are five domains and approximately 170 indicators that are inspected.
- **Visit type:** unannounced visit coordinated with the MOE inspection team during the school academic year (typically September to November). In recent years, the MoE has coordinated with MOHRE to streamline the visit.
- **Timing:** Throughout the academic year.
- **Information Usage:**
To assess the compliance status of schools and develop improvement plans to rectify any issues that may affect students' safety and learning environment. Each school receives a corrective plan that specifies the areas the school was non-compliant with, and which need to be corrected. The compliance team will then assess the school's progress against the presented corrective actions and take the necessary action if the school is still non-compliant, such as issuing a warning or a fine/violation.
- **Follow-up process:** If compliance indicators are not met, corrective actions are identified and monitored by the Compliance inspection team and shared with the school to address. Apart from major structural or building issues, it is expected that most corrective actions should be addressed and resolved within seven (7) working days.

5.2 Theme visits

- **Purpose:** To focus on specific themes or areas of concern identified by RAK DOK
- **Tools/ Instruments Used:** theme-specific checklist, compliance inspection criteria, and standards.
- **Visit Type:** announced and unannounced, one visit conducted by the RAK DOK compliance team during the academic year.
- **Information Usage:** to assess compliance and effectiveness in addressing specific thematic concerns.



- **Follow-up process:** Action plans are developed based on thematic findings, with ongoing monitoring and support to address identified areas for improvement and take actions that should be addressed within a maximum period of 1-3 working days.

5.3 Other Government Entity Visits

- **Purpose:** To focus on specific areas of concern identified by each entity.
- **Tools/ Instruments Used:** theme-specific checklist, compliance inspection criteria,, and standards.
- **Visit Type:** announced and unannounced. Typically, one visit during the academic year.
- **Information Usage:** to assess compliance and effectiveness in addressing specific areas and concerns.
- **Follow-up process:** Other Government entities will develop feedback based on these visits' findings and follow up according to their internal processes and procedures. Ideally, where appropriate, the government entities will share these recommendations with RAK DOK to conduct ongoing monitoring and support to address identified areas for improvement and take actions that should be addressed based on the type of recommendations.

6. Revision History

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Note:

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